

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

FORM A Page 1 of 5
For use by Members, officers, and employees

HAND DELIVERED

Bruce Lowell Braley
(Full Name)

202-225-2911
(Daytime Telephone)

LEGISLATIVE RESOURCE CENTER

2012 JUN 19 AM 11:58

(Office Use Only) U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>IA</u> District: <u>01</u>	<input type="checkbox"/> Officer Or Employee	Employing Office: _____
Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date: _____

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name Bruce Lowell Braley

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Waterloo Community School District	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bruce Lowell Braley

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<p style="text-align: center;">BLOCK A</p> <p style="text-align: center;">Asset and/or Income Source</p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p>	<p style="text-align: center;">BLOCK B</p> <p style="text-align: center;">Year-End Value of Asset</p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p style="text-align: center;">BLOCK C</p> <p style="text-align: center;">Type of Income</p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p style="text-align: center;">BLOCK D</p> <p style="text-align: center;">Amount of Income</p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p style="text-align: center;">BLOCK E</p> <p style="text-align: center;">Transaction</p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Pepsico, Inco	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
Amana Mutual Funds Trust Income Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Columbia Mid Cap Value Fund A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Davis New York Venture FD CL A	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
EUROPACIFIC GROWTH FUND CL F	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS F	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bruce Lowell Braley

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	HARTFORD MID CAP FUND CLASS A	\$1,001 - \$15,000	DIVIDENDS/CAPIT TAL GAINS	\$1,001 - \$2,500	
	IVY FUNDS PACIFIC OPPORTUNITIES FUND CLASS A	\$1,001 - \$15,000	CAPITAL GAINS	\$201 - \$1,000	
	LAZARD EMERGEING MARKETS PORTFOLIO RETAIL SH	\$1,001 - \$15,000	DIVIDENDS/CAPIT TAL GAINS	\$201 - \$1,000	
	LOOMIS SAYLES FUNDS II INVT GRADE BOND FD CL A	\$1,001 - \$15,000	DIVIDENDS/CAPIT TAL GAINS	\$201 - \$1,000	
	NEUBERGER BERMAN EQUITY TR SOCIALLY RESPONSIVE TR	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	PIMCO FUNDS TOTAL RETURN FD CLASS A	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	TEMPLETON GLOBAL BOND FL CLASS A	\$1,001 - \$15,000	DIVIDENDS/CAPIT TAL GAINS	\$201 - \$1,000	
	THORNBURG INVT TR INTERNATIONAL VALUE FD CLASS A	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	INSURED BANK PROGRAM		INTEREST	\$1 - \$200	
	EQUITY COMPASS MANAGED ACCOUNT	\$100,001 - \$250,000	DIVIDENDS/CAPIT TAL GAINS	\$2,501 - \$5,000	

SCHEDULE V - LIABILITIES

Name Bruce Lowell Braley

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Dovenmuehle Mortgage	September 2003	Mortgage on Waterloo, IA home (not rented)	\$50,001 - \$100,000
JT	Community National Bank	January 2008	Home equity loan - Waterloo	\$15,001 - \$50,000
JT	Veridian Credit Union	September 2010	Mortgage on Brooklyn, IA home (not rented)	\$50,001 - \$100,000
JT	Community National Bank	June 2010	Home equity loan - Brooklyn	\$15,001 - \$50,000
JT	US Dept. Of Education	August 2009	Student Loan	\$10,001 - \$15,000

BRUCE L. BRALEY
1ST DISTRICT, IOWA

HAND DELIVERED

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2012 JUN 28 AM 10:04

BRUCE L. BRALEY
U.S. HOUSE OF REPRESENTATIVES

June 27, 2012

To whom it may concern:

Below is additional information requested by the Committee on Ethics regarding Congressman Braley's financial disclosure information.

1. Institutions holding Insured Bank Program (money market) values as of December 31, 2011.

Stifel Bank & Trust St. Louis, MO	Value: \$1,001-\$5,000 income: \$1-\$200
Stifel Bank & Trust St. Louis, MO	Value: \$1,001-\$15,000 Income: \$1-\$200
American Express Bank FSB Salt Lake City, UT	Value : \$15,001-\$50,000 Income:\$1-\$200
Citibank NA Las Vegas, NV	Valeue: \$15,001-\$50,000 Income: \$1-\$200

2. The Equity Compass Managed Account held at Stifel Nicolaus is not self directed.

If they are any questions please contact Mitch Adams at 202-225-2911.

Sincerely,



Bruce Braley