

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE REPORTS

Last Name	First Name and Middle Initial	New Employee Report Date of Employment (mm/dd/yy):	Senate Office / Agency in Which Employed
Conlin	Roxanne B.		
Senate/Candidate Office Address (Number, Street, City, State, and ZIP)	Senate/Candidate Office Telephone No.	Candidate Report Commencement of Candidacy (mm/dd/yy):	State in which you are a candidate
P.O. Box 876 Des Moines, Iowa 50304	(515) 243-3100	10/29/09	Iowa

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS

	YES	NO		YES	NO
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions during the reporting period? If Yes, Complete and Attach PART VIII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete and Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you have any reportable agreement or arrangement with an outside entity on the filing date? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you receive compensation of more than \$5,000 from a single source in the <u>two</u> prior years? If Yes, Complete and Attach PART X.	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

**FOR OFFICIAL USE ONLY
Do Not Write Below this Line**

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		
	For Official Use Only - Do Not Write Below This Line	
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)

SECRETARY OF THE SENATE
 09 DEC 31 PM 12:08

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	<i>JP Computers</i>	<i>Wash., DC</i> <i>Example</i>	<i>Salary</i> <i>Example</i>	<i>\$15,000</i>
	<i>MCI (Spouse)</i>	<i>Arlington, VA</i> <i>Example</i>	<i>Salary</i> <i>Example</i>	<i>Over \$1,000</i>
1	Roxanne Conlin & Associates, PC	Des Moines, IA	Salary	\$456,191
2	Canterbury Equities II, LLC	Des Moines, IA	Ordinary Bus Inc	\$9,104
3	Southern Hills Equities II, LLC	Des Moines, IA	Ordinary Bus Inc	\$2,046
4	Southern Hills Equities I, LLC	Des Moines, IA	Ordinary Bus Inc	\$4,074
5	Conlin Development Company (Spouse)	Des Moines, IA	Developer Fees	Over \$1,000
6	Pinnacle Real Estate, Co. (Spouse)	Des Moines, IA	Ordinary Bus Inc	Over \$1,000
7	Growth Equities, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc	Over \$1,000
8	Conlin Construction Services, LC (Spouse)	Des Moines, IA	Ordinary Bus Inc	Over \$1,000
9	Windfield Equities II, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc	Over \$1,000
10	Parkside Equities III, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc	Over \$1,000
11	Parkside Equities IV, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc	Over \$1,000
12	Windfield Equities III, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc	Over \$1,000
13	Canterbury Equities II, LLC (Spouse)	Des Moines, IA	Ordinary Bus Inc	Over \$1,000

PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)		Type of Income	Amount
Example:	JP Computers	Wash., DC	Example	Salary Example	\$15,000
	MCI (Spouse)	Arlington, VA	Example	Salary Example	Over \$1,000
1	Deer Ridge Equities IV, LLC (Spouse)	Des Moines, IA		Ordinary Bus Inc	Over \$1,000
2	Southern Hills Equities II, LLC (Spouse)	Des Moines, IA		Ordinary Bus Inc	Over \$1,000
3	Southern Hills Equities I, LLC (Spouse)	Des Moines, IA		Ordinary Bus Inc	Over \$1,000
4					
5					
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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.									BLOCK C Type and Amount of Income																							
											Type of Income							Amount of Income				Actual Amount Required if "Other" Specified												
											None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000		\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000			
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	IBM Corp. (stock)			X									X								Example	X										Example		
	(S) Keystone Fund				X													X			Example	X										Example		
1	J General Electric Co (stock)	X												X									X											
2	J Goldman Sachs Group Inc (stock)		X											X									X											
3	J Google Inc Cl A (stock)		X											X									X											
4	J Hologic Inc (stock)	X												X									X											
5	J ISHARES MSCI EAFE Index Fd				X													X					X											
6	J ISHARES Russell 2000 Index Fd				X														X						X									
7	J ISHARES Russell Midcap					X												X								X								
8	J Ingersoll Rand Co CL A (stock)	X												X									X											
9	J Intel Corp (stock)	X												X									X											
10	J Johnson & Johnson (stock)		X											X									X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																				
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												Type of Income					Amount of Income					Actual Amount Required if "Other" Specified										
None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)		\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
Example: S, DC, or J	IBM Corp. (stock)			X									X								Example	X										Example
	(S) Keystone Fund				X											X					Example	X									Example	
1																																
2	J Canadian National Railway Co (stock-foreign)			X									X										X									
3	J Diageo P L C Spon Adr New (stock-foreign)		X										X										X									
4	J Nokia Corp (stock - foreign)		X										X										X									
5	J Teva Phatmaceutical Inds LTD ADR (stock-foreign)		X										X										X									
6	J Vodafone Group PLC (stock-foreign)		X										X										X									
7	J Weatherford International LTD Bermuda (stock-foreign)		X										X										X									
8	J Abilene TX 3.50% Due 02/15/09 (Gen Obl Muni Bd)	X													X								X									
9	J Ankeny IA 4.00% Due 06/01/16 (Gen Obl Muni Bd)			X												X							X									
10	J Arlington Heights IL 4.00% Due 12/01/16 (Gen Obl Muni Bd)			X												X							X									

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets									BLOCK C Type and Amount of Income																						
		At the close of reporting period. If None, or less than \$1,001, Check the first column.									If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																						
											Type of Income					Amount of Income					Actual Amount Required if "Other" Specified												
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Example: S, DC, or J	IBM Corp. (stock)			X										X							Example	X											Example
	(S) Keystone Fund				X													X			Example	X										Example	
1	J Ligonier PA Sch Dist 4.00% Due 03/01/15 (Gen Obl Muni Bd)		X														X																
2	J Livingston Twp NJ Sch Dist 4.10% Due 01/15/16 (Gen Obl Muni Bd)		X														X																
3	J Madison Macoupin IL Community College 4.00% Due 11/01/10 (Gen Obl Muni Bd)		X														X																
4	J Maricopa Cnty AZ 3.00% Due 07/01/12 (Gen Obl Muni Bd)		X														X																
5	J Mission TX 4.25% Due 02/15/11 (Rev Muni Bd)		X														X																
6	J Mohave Cnty AZ 3.875% Due 07/01/15 (Gen Obl Muni Bd)		X														X																
7	J Newhall CA Sch Dist 4.00% Due 05/01/13 (Gen Obl Muni Bd)		X														X																
8	J Oak Park IL 4.00% Due 11/01/09 (Gen Obl Muni Bd)		X														X																
9	J Pinal Cnty AZ Sch Dist 4.00% Due 07/01/16 (Gen Obl Muni Bd)		X														X																
10	J Polk Cnty IA 4.00% Due 06/01/13 (Gen Obl Muni Bd)		X														X						X										

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																						None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		
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Example: DC, or J	S, IBM Corp. (stock)				X									X								Example	X										Example		
	(S) Keystone Fund				X													X				Example	X										Example		
1	J Indianapolis Marion Cty, IN, 4.00% Due 1/1/13 (Gen Obl Muni bd)	X																																	
2	J Johnson County, KS Sch Dist, 3.25% Due 3/1/11 (Gen Obl Muni bd)	X																																	
3	J Lacey, WA, 4.00% Due 12/1/08 (Gen Obl Muni bd)	X																																	
4	J Las Vegas, NV, 4.00% Due 11/1/09 (Gen Obl Muni bd)	X																																	
5	J Olentangy, OH, 3.75% Due 12/1/08 (Gen Obl Muni bd)	X																																	
6	J Omaha, NE, 4.00% Due 11/15/08 (Gen Obl Muni bd)	X																																	
7	J Oyster Bay East Norwich, NY, 3.75% Due 9/15/11 Gen Obl (Muni bd)	X																																	
8	J																																		
9	J																																		
10																																			

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										None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)		\$201 - \$1,000	\$1,001 - \$2,500
Example: S, DC, or J	IBM Corp. (stock)									X	Example	X										Example
	(S) Keystone Fund				X						Example	X										Example
1	West Bank Money Market	X																				
2	ISHARES MSCI EAFE Index Fund	X																				
3	ISHARES Russell 2000 Index Fund	X																				
4	ISHARES Russell Midcap	X																				
5	ISHARES S&P 500 Index Fund	X												X								
6	ISHARES Barclays Bond Fund	X																				
7	Vanguard Total Bond Market	X																				
8																						
9																						
10																						

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		Type of Income										Amount of Income													
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Actual Amount	Required if "Other" Specified
Example: S, DC, or J	IBM Corp. (stock)								X	Example	X													Example	
	(S) Keystone Fund					X				Example	X													Example	
1																									
2	S West Bank Money Market	X									X														
3	S SHARES MSCI EAFE Index Fund	X									X														
4	S ISHARES Russell 2000 Index Fund	X									X														
5	S ISHARES Russell Midcap	X									X														
6	S ISHARES S&P 500 Index Fund		X								X														
7	S ISHARES Barclays Bond Fund	X									X														
8	S Vanguard Total Bond Market	X									X														
9	S ISHARES Barclays Aggregate Bond Fund	X									X														
10																									

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										Type of Income					Amount of Income					Actual Amount Required if "Other" Specified													
None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust		Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	
Example: S, DC, or J	IBM Corp. (stock)			X									X							Example	X											Example	
	(S) Keystone Fund				X											X				Example	X											Example	
1	Pimco Total Return Bond Fund (PTTRX)	X														X																	
2	Dodge & Cox Stock Fund (DODGX)	X															X																
3	Vanguard 500 Index Investor Fund (VFINX)	X															X																
4	American Funds AMCAP Fund (AMCPX)	X															X																
5	Brandywine Fund (BRWIX)	X															X																
6	Templeton International Bond A (TBOAX)	X															X																
7	Dodge & Cox Balanced Fund (DIDBX)	X															X																
8	Fremont Bond Fund (MBDFX)	X															X																
9	American Beacon Large Cap Fund (AADEX)		X														X																
10	Columbia Acorn Small Cap Fund (ACRNX)		X														X																

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Click...to create an additional page for this part.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																						
												Type of Income										Amount of Income												
												None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000****	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified		
<p>Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:</p> <p>(1) had a value exceeding \$1,000 at the close of the reporting period; and/or</p> <p>(2) generated over \$200 in "unearned" income during the reporting period.</p> <p>Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.</p>		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000****	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000****	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	IBM Corp. (stock)			X									X						X			Example	X										Example	
	(S) Keystone Fund				X														X			Example	X										Example	
1	Amana Growth Fund (AMAGX)	X																X					X											
2	Artisan International (ARTIX)	X																X					X											
3	Black Rock High Yield Bond Fund (BHYIX)		X															X					X											
4	Fidelity Advisor (FDVIX)	X																X					X											
5	Goldman Sachs Emerging Market Debt (GSDIX)	X																X					X											
6	Goldman Sachs Large Cap Value (GSLIX)	X																X					X											
7	PIMCO Total Return Bond Fund (PTTRX)				X													X					X											
8	T-Rowe Price Growth Stock (PRGFX)	X																X					X											
9	Templeton Foreign Equity (TFEQX)	X																X					X											
10	Vanguard Mid-Cap Index Fund (VIMSX)	X																X					X											

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 **** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																			
												Type of Income										Amount of Income									
												None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
Example: S, DC, or J	IBM Corp. (stock)									X	Example	X										Example									
	(S) Keystone Fund										Example	X										Example									
1	Vanguard Total Bond Fund (WBMFX)																														
2	Westport Fund (WPFIX)	X																													
3																															
4																															
5																															
6																															
7																															
8																															
9																															
10																															

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income												
												If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.												
												Type of Income							Amount of Income					
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000					
Example: S, IBM Corp. (stock)									X														Example	
Example: DC, or J (S) Keystone Fund																							Example	
1	S Vanguard Total Bond Fund (WBMFX)																							
2	S Westport Fund (WPFIX)	X																						
3																								
4																								
5																								
6																								
7																								
8																								
9																								
10																								

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
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Click...to create an additional page for this part.

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																			
												Type of Income										Amount of Income									
												None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
<p>Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:</p> <p>(1) had a value exceeding \$1,000 at the close of the reporting period; and/or</p> <p>(2) generated over \$200 in "unearned" income during the reporting period.</p> <p>Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.</p>																															
Example:	S, DC, or J	JP Computer, Software Design, Wash DC										X															Example				
		Undeveloped land, Dubuque, Iowa																									Example				
1		NOTES RECEIVABLE:																													
2	S	Flynn Building, Des Moines, IA																													
3	S	Oakview Terrace I, LP, Des Moines, IA																													
4	S	Canterbury Park III, LP, Des Moines, IA																													
5	S	Pinnacle Real Estate Co., Des Moines, IA																													
6	S	Chapel Ridge II, LP, Des Moines, IA																													
7	S	Canterbury Park IV, LP, Des Moines, IA										X																			
8	S	Deer Ridge V, LP, Des Moines, IA										X																			
9	S	Willow Bend I, LP, Des Moines, IA																													
10	S																														

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																												
												Type of Income					Amount of Income					Actual Amount Required if "Other" Specified																		
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust		Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000						
Example:	S, DC, or J	JP Computer, Software Design, Wash DC																				Example															Example			
		Undeveloped land, Dubuque, Iowa																				Example	X													Example				
1	J	Parkside Equities II, partner in Parkside East II, LP, Des Moines, IA *										X																												
2	J	Parkside Equities III, partner in Parkside East III, LP, Des Moines, IA *											X																											
3	J	Parkside Equities IV, partner in Parkside East IV, LP, Des Moines, IA *											X																											
4	J	Canterbury Equities, partner in Canterbury Park Apts, LP, Pleasant Hill, IA *										X																												
5	J	Canterbury Equities II, partner in Canterbury Park II, LP, Pleasant Hill, IA *											X																											
6	J	Windfield Equities, partner in Windfield West Apts, LP, Waukee, IA *										X																												
7	J	Windfield Equities II, partner in Windfield West II, LP, Waukee, IA *											X																											
8	J	Windfield Equities III, partner in Windfield West II, LP, Waukee, IA *											X																											
9	J	Deer Ridge Equities V, partner in Deer Ridge V, LP, Des Moines, IA *										X																												
10	J	Deer Ridge Equities VI, partner in Deer Ridge VI, LP, Des Moines, IA *										X																												

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Click...to create an additional page for this part.

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																											
												Type of Income					Amount of Income					Actual Amount Required if "Other" Specified																	
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust		Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000					
Example:	S, DC, or J	JP Computer, Software Design, Wash DC										X									Example	X													Example				
		Undeveloped land, Dubuque, Iowa																				Example	X												Example				
1	J	RENTAL PROPERTIES:																																					
2	J	Fort South Apartments I, rental real estate, Des Moines, IA (Assessed value)										X																											
3	J	Southtown Terrace Apartments, rental real estate, Des Moines, IA (Assessed value)																																					
4	J	Summit House Condo, rental real estate, Des Moines, IA (Assessed value)																																					
5	J	Windsor Park Townhouse, rental real estate, Des Moines, IA (Assessed value)																																					
6	J	, rental real estate, Des Moines, IA (Assessed value)																																					
7	J	Francis Street House, rental real estate, Des Moines, IA (Assessed value)																																					
8	J	rental real estate, Des Moines, IA (Assessed value)																																					
9	J	, rental real estate, Des Moines, IA (Assessed value)																																					
10	J	rental real estate, Des Moines, IA (Assessed value)																																					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.																				
																						Type of Income					Amount of Income					
												None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)
Example: S, DC, or J	JP Computer, Software Design, Wash DC			X										X							Example	X										Example
	Undeveloped land, Dubuque, Iowa				X																Example	X										Example
1	OTHER INVESTMENTS IN PARTNERSHIPS OR S CORPORATIONS::																															
2	J Conlin Properties, Inc, Des Moines, IA								X						X	X					Business Income											\$822539
3	Roxanne Conlin & Associates,, PC, Des Moines, IA	X														X					Business Income											\$118612
4	S Flynn Building, Des Moines, IA	X													X	X						X										
5	J Douglas Woods, LP, Des Moines, IA ***	X													X	X						X										
6	J Pleasantview, LP, Des Moines, IA ***	X													X	X						X										
7	J Fort South II, LP, Des Moines, IA	X													X	X	X								X							
8	J Southbrook Green Apts, LP, Des Moines, IA ***	X													X	X						X										
9	J Pinnacle Real Estate, Co, Des Moines, IA								X				X																			
10	J Conlin Construction, LP, Des Moines, IA					X									X										X							

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																			
												Type of Income										Amount of Income									
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Example:	S, DC, or J	JP Computer, Software Design, Wash DC										X													Example						
		Undeveloped land, Dubuque, Iowa																							Example						
1	S	Sunburst Apartments, LP, Des Moines, IA (Assessed value of underlying complex)											X	X																	
2	J	138 Partnership, Des Moines, IA											X	X																	
3		OTHER MISCELLANEOUS:																													
4	J	Harrington I, LP (Promissory Note)													X																
5	S	Harrington I, LP (Note Receivable)													X																
6	J	Real estate held for development, Des Moines, IA										X																			
7	J	West Bank Certificate of Deposit													X																
8		West Bank Certificate of Deposit												X																	
9																															
10																															

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PART VII. LIABILITIES

Report liabilities over \$10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Term if Applicable	Category of Amount of Value (x)											
							\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
	<i>Example:</i> S, DC, or J	<i>First District Bank</i>	<i>Wash., DC</i>	<i>Mortgage on undeveloped land</i>	<i>1991</i>	<i>13%</i>	<i>25yrs</i>			X		E	X	A	M	P	L	E
		<i>(J) John Jones</i>	<i>Wash., DC</i>	<i>Promissory Note</i>	<i>1999</i>	<i>10%</i>	<i>On dmd</i>				X	E	X	A	M	P	L	E
1	<input type="checkbox"/> S West Bank	Des Moines, IA	Line of Credit	1994	3.25%	On Dmd				X								
2	<input type="checkbox"/> J West Bank	Des Moines, IA	Note Payable - Pinnacle Real Estate, LC	2008	5.5%	Due 2010									X			
3	<input type="checkbox"/>																	
4	<input type="checkbox"/>																	
5	<input type="checkbox"/>																	
6	<input type="checkbox"/>																	
7	<input type="checkbox"/>																	
8	<input type="checkbox"/>																	
9	<input type="checkbox"/>																	
10	<input type="checkbox"/>																	
11	<input type="checkbox"/>																	
12	<input type="checkbox"/>																	

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PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY,NY EXAMPLE	Non-profit education	President	6 / 90	Present
	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7 / 95	11 / 0X
1	American Association for Justice:					
2	Committee for Ethical Conduct	Washington, DC	Legal Advocacy	Member	08/07	Present
3	Diversity Task Force	Washington, DC	Legal Advocacy	Member	08/09	Present
4	Organization Review Committee	Washington, DC	Legal Advocacy	Member	07/08	Present
5	Pound Civil Justice Institute	Washington, DC	Legal Advocacy	Honorary Trustee	08/02	07/08
6	Stalwarts/Hall of Fame Committee	Washington, DC	Legal Advocacy	Member	08/08	Present
7	The AAJ Robert L. Habush Endowment Board of Directors	Washington, DC	Legal Advocacy	Vice President	08/07	Present
8	Iowa Legal Aid's Equal Justice Project, Advisory Council	Des Moines, IA	Legal Assistance	Member	01/06	Present
9	Roxanne & James Conlin Fund, Advisory Committee	Des Moines, IA	Charitable Giving Fund	Founder/Member/Fund Advisor	12/07	Present
10	Conlin Family Iowa Charitable Giving Fund, Advisory Committee	Des Moines, IA	Charitable Giving Fund	Founder/Member/Fund Advisor	12/07	Present
11	Roxanne Conlin & Associates, PC	Des Moines, IA	Law Firm	Director (President, Secretary, Treasurer)	11/90	Present
12	Conlin Properties, Inc	Des Moines, IA	Property Management Firm	Director (Secretary & Treasurer)	04/86	Present
13	Conlin Reserve Specialists, Inc.	Des Moines, IA	Real Estate Firm	Director (Secretary)	05/07	Present

Compensation in excess of \$200 from any position must be reported in Part II.

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

Name of Organization		Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	National Assn. of Rock Collectors	NY,NY EXAMPLE	Non-profit education	President	6 / 90	Present
	Jones & Smith	Hometown, USA EXAMPLE	Law Firm	Partner	7 / 95	11 / 0X
1	Conlin Brokers, Inc	Des Moines, IA	Real Estate Firm	Director (Secretary & Treasurer)	04/86	Present
2						
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Compensation in excess of \$200 from any position must be reported in Part II.

Click...to create an additional page for this part.

FIRST TIME FILERS ONLY:

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Name of Source		Address of Source	Brief Description of Duties
Example:	<i>Jones & Smith</i>	<i>Hometown, TX</i>	<i>Legal Services</i> EXAMPLE
	<i>Metro University (client of Jones & Smith)</i>	<i>Moneytown, USA</i>	<i>Legal Services in connection with university construction</i> EXAMPLE
1	Greg Rager	Sioux City, IA	Legal Services
2	Microsoft, Class Action	Seattle, WA	Legal Services
3	Christopher Meyer & Krishna Mastel	Vermillion, SD	Legal Services
4	Katrina Dorman	Iowa City, IA	Legal Services
5	J.T.G. Hove Estate Settlement	Solon, IA	Legal Services
6	Joann Wzontek	Cedar Falls, IA	Legal Services
7	Jeannie Murphy	Oelwein, IA	Legal Services
8	Diane Baker	Urbandale, IA	Legal Services
9	Karla Naylor	Scranton, IA	Legal Services
10	Gina Wagner - Executor of the estate of Christine & John Lacey	Norwalk, IA	Legal Services
11	Stephen Price	Marion, IA	Legal Services
12	Heather Bruning	Carroll, IA	Legal Services
13	Courtney Everett	Lake City, IA	Legal Services
14	Rachel Dixon	Cherokee, IA	Legal Services
15	David Malley	Atlantic, IA	Legal Services

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Name of Source		Address of Source	Brief Description of Duties
Example:	<i>Jones & Smith</i>	<i>Hometown, TX</i>	<i>Legal Services</i> EXAMPLE
	<i>Metro University (client of Jones & Smith)</i>	<i>Moneytown, USA</i>	<i>Legal Services in connection with university construction</i> EXAMPLE
1	Eric Chatman	Des Moines, IA	Legal Services
2	Marjorie Bode (c/o Janice Christensen)	Iowa City, IA	Legal Services
3	Maria Gomez	Des Moines, IA	Legal Services
4	Nicole Rains	Pleasant Hill, IA	Legal Services
5	Jerry Schutt	West Des Moines, IA	Legal Services
6	Karen Essex	Des Moines, IA	Legal Services
7	Devin, Nancy & James Miller	Polk City, IA	Legal Services
8	Mark Boley	Keokuk, IA	Legal Services
9	City of Galva, IA	Galva, IA	Legal Services
10	City of Ida Grove, IA	Ida Grove, IA	Legal Services
11	City of Sioux City, IA	Sioux City, IA	Legal Services
12	James O'Boyle	Des Moines, IA	Legal Services
13	Cecilia Carman	Council Bluffs, IA	Legal Services
14	Sonja Schmidhuber	Las Vegas, NV	Legal Services
15	David Isaacs	Adel, IA	Legal Services

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Name of Source		Address of Source	Brief Description of Duties
Example:	Jones & Smith	Hometown, TX	Legal Services EXAMPLE
	Metro University (client of Jones & Smith)	Moneytown, USA	Legal Services in connection with university construction EXAMPLE
1	Estate of Joseph Sandford	Clive, IA	Legal Services
2	Gerald Asplund	Waverly, IA	Legal Services
3	Brad Van Horn	Egan, MN	Legal Services
4	Andrew Slagle	Grimes, IA	Legal Services
5	Sara Slagle	Grimes, IA	Legal Services
6	Lisa Jensen	LeMars, IA	Legal Services
7	Glenda Aldrich	Huxley, IA	Legal Services
8	Jeff & Mary Trainor	Sumner, IA	Legal Services
9	Patricia Gansen	Nora Springs, IA	Legal Services
10	Lisa & Skyler King	Des Moines, IA	Legal Services
11	Lavonne Schroeter	Brayton, IA	Legal Services
12			
13			
14			
15			

Click...to create an additional page for this part.

Roxanne Conlin

Footnotes for Assets Listed on Pages 27-29 and 32

* Investment is a limited liability company invested in a limited partnership holding rental real estate; Jim and Roxanne Conlin are general partners jointly owning from .01% to 1% of the limited partnership.

** Investment is a limited liability company invested in a limited partnership holding rental real estate; Jim and Roxanne Conlin are general partners jointly owning 10% of the limited partnership.

*** Investment is a limited partnership holding rental real estate; Jim and Roxanne Conlin are general partners jointly owning from .5% to 1%.