

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS

Last Name Harkin	First Name and Middle Initial Thomas R.	Annual Report Calendar Year Covered by Report: 2008	Senate Office / Agency in Which Employed United States Senate
Senate Office Address (Number, Street, City, State, and ZIP Code) Senate Hart #731 Washington, DC 20510	Senate Office Telephone Number (Include Area Code) 202-224-3254	Termination Report Termination Date (mm/dd/yy):	Prior Office / Agency in Which Employed

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$335 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

<p>Certification</p> <p><i>I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.</i></p>	<p style="text-align: center;">Signature of Reporting Individual</p> <div style="background-color: black; height: 40px; width: 100%;"></div>	<p style="text-align: center;">Date (Month, Day, Year)</p> <p style="font-size: 2em; text-align: center;">5/14/09</p>	<p>FOR OFFICIAL USE ONLY Do Not Write Below this Line</p> <p style="writing-mode: vertical-rl; transform: rotate(180deg);">RECEIVED OFFICE OF THE SECRETARY OF THE SENATE MAY 15 PM 1:30</p>
<p><i>It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.</i></p>	<p style="text-align: center;">For Official Use Only - Do Not Write Below This Line</p> <p style="text-align: center;">Signature of Reviewing Official</p> <div style="background-color: black; height: 40px; width: 100%;"></div>	<p style="text-align: center;">Date (Month, Day, Year)</p>	

PART II. EARNED AND NON-INVESTMENT INCOME

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
<i>Example:</i>	JP Computers	Wash., DC	Salary	
	MCI (Spouse)	Arlington, VA	<i>Example</i>	<i>Example</i>
1	ConocoPhillips (Spouse)	Houston, TX	Salary	Over \$1,000
2			Salary (includes	Over \$1,000
3			contractual right to	
4			receive stock in	
5	United Technologies Corp. (Spouse)	Washington, DC	the future)	
6			Salary and Pension	Over \$1,000
7			(salary includes	
8			contractual right to	
9			purchase stock in	
10	AbitibiBowater, Inc. (Spouse)	Montreal, Canada	the future)	Over \$1,000
11			Salary (includes	
12			contractual right to	
13			receive stock in	
			the future)	

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

Example: S, IBM Corp. (stock)
 DC, or J (S) Keystone Fund

BLOCK B
Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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BLOCK C
Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income								Amount of Income										Actual Amount	Require if "Other" Specific				
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***			\$1,000,001 - \$5,000,000	Over \$5,000,000		
								Example		X												Example	
	X							Example	X														Example
1	J								X														
2	J								X														
3	J								X														
4	J								X														
5	J								X														
6	J																						
7																							
8																							
9																							
10																							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

**BLOCK A
Identity of Publicly Traded Assets
And Unearned Income Sources**

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**BLOCK B
Valuation of Assets**

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None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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**BLOCK C
Type and Amount of Income**

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income								Amount of Income								Actual Amount Required if "Other" Specified				
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
	X							Example	X											Example
					X			Example	X											Example
					X				X											
					X					X										
					X						X									
					X							X								
					X								X							
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					X															
					X															
					X															
					X															

Example: S, IBM Corp. (stock)
DC, or J (S) Keystone Fund

1	S	Ivy Ltd-Term BD FD.CL C
2	S	Janus Adviser Ser Long-Short FD CL C
3	S	LPL Cash, Insured Cash Account
4	S	LPL ICA
5	S	Ishares Dow Jones Select
6	S	Ishares Aggregate Bond Fund
7	S	Ishares Tr. S&P Sm. 600 Value Index
8	S	Ishares Tr. S&P Sm. Cap Growth Idex
9	S	Ishares Treas. Infl. Prot. (TIPS)
10	S	Ishares Tr. Russell Midcap Value

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

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BLOCK B

Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

		BLOCK B Valuation of Assets At the close of reporting period. If None, or less than \$1,001, Check the first column.										BLOCK C Type and Amount of Income																							
												Type of Income							Amount of Income						Actual Amount Require if "Other" Specific										
S, DC, or J	Asset Name	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000		\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	
		Example: S, DC, or J	IBM Corp. (stock)			X											X							Example		X									
	(S) Keystone Fund				X																	Example	X											Example	
1	S Ishares Tr. Russell Midcap Growth		X																X			Example	X											Example	
2	S Ishares MSCI EAFE Index Fund			X															X					X											
3	S Ishares Tr. Russell 1000 Value Index				X														X							X									
4	S Ishares Tr. Russell 1000 Growth Index				X														X								X								
5	S Ishares Tr. Dow Jones US Energy Index			X															X							X									
6	S Ishares Tr. MSCI Emerging Markets Index			X															X						X										
7	S Ishares Tr. 1 3 Yr. Treas. Index				X														X																
8	S Ishares Tr. Cohen & Steers Realty				X														X							X									
9	S SPDR Series Tr. DJ Wilshire Sm. Cap Growth		X																X							X									
10	S SPDR Series Tr. DJ Wilshire Sm. Cap Value			X															X						X										

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

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Example: S, DC, or J *IBM Corp. (stock)*
 (S) *Keystone Fund*

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Valuation of Assets

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BLOCK C
Type and Amount of Income

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Type of Income							Amount of Income										Actual Amount Required if "Other" Specified				
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000		Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	
	X							Example		X										Example	
					X			Example	X											Example	
1	S																				
2	S											X									
3	S									X											
4	S										X										
5	S											X									
6	S									X											
7	S												X								
8	S											X									
9	S												X								
10	S													X							

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Type of Income							Amount of Income							Actual Amount Required if "Other" Specified				
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
	X					Example	X											Example
					X	Example	X											Example
					X			X										
					X				X									
					X			X										
					X					X								
					X						X							
					X		X											
					X						X							
	X				X		X											

Example: S, DC, or J IBM Corp. (stock)
(S) Keystone Fund

1	S	Harbor Cap Appreciation Fund
2	S	Harris Assoc. Invt. Oakmark Fund
3	S	Julius Baer Invt. Intl. Equity
4	S	Marsico Investment Growth Fund
5	S	Metro West FDS Low Duration
6	S	Virtus (formerly Phoenix) Opportunities Tr.
7	S	PIMCO Total Return Fund
8	S	Rydex Ser. Fds. Sector Rotation CI H
9	S	Vanguard Fixed Income Intermed. Term Treas.
10	S	LPL Premier Money Market Shares (IRA)

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Type and Amount of Income

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S, DC, or J	Asset Name	Valuation of Assets									Type and Amount of Income										Actual Amount	Require if "Other" Specific													
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust			Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000
Example: S, DC, or J	IBM Corp. (stock)			X										X								Example	X												Example
	(S) Keystone Fund				X																	Example													Example
1	S Rydex Ser. Fds. Sector Rotation CI H (IRA)	X															X					Example	X												Example
2	S Vanguard Fixed Inc. Secs. Short Term (IRA)	X															X						X												
3	S Alexandria VA Series 2006A B/E					X																													
4	S Arlington Cnty VA Public Improvement B/E					X																					X								
5	S Capital Region Airport Series FSA B/E					X																					X								
6	S Chesapeake VA Ref PI CPN					X																					X								
7	S Chesapeake VA Rfdg Water & Sewer B/E CPN					X																					X								
8	S Fairfax Cty VA Econ. Dev. Rev. Rte 28 PJ					X																					X								
9	S Fairfax Cty VA Water Auth. Rev. Rfdg. 4%					X																					X								
10	S Fairfax Cty VA Water Auth. Rev. Rfdg. 5%					X																					X								

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Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income								Amount of Income										Actual Amount Require if "Other" Specific		
None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***		\$1,000,001 - \$5,000,000	Over \$5,000,000
	X							Example		X										Example
					X			Example	X											Example
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								
			X									X								

Example: S, DC, or J (S) IBM Corp. (stock) Keystone Fund

1	S	Virginia Comwth Transn. Brd. Hwy. Reimb.
2	S	Virginia Comwth Transn. Brd. Hwy. Reimb. Antic Note
3	S	Virginia St. Peninsula Regl. Jail Author.
4	S	Virginia St. Publ Bldg. Ath. Publ Facs
5	S	Virginia St. Pub Sch Auth Sch Fing
6	S	Virginia St. Pub Sch Auth Sch Fing 1997 Res.
7	S	Virginia St. Ref. B/E CPN 5%
8	S	Virginia St. Res. Auth. Clean Water
9	S	Virginia St. Res. Auth. Infrast. Rev.
10	S	Virginia St. Res. Auth. Infrast. Rev. Ser

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

BLOCK A

Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B

Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C

Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

		BLOCK B Valuation of Assets									BLOCK C Type and Amount of Income											Actual Amount	Require if "Other" Specific
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Type of Income							Amount of Income		
		None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000		
Example:	S, DC, or J		X							Example	X											Example	
	(S) IBM Corp. (stock)				X																		
	(S) Keystone Fund				X																	Example	
1	S Virginia St. Rfdg. Series				X																	Example	
2	S ING American Funds Growth-Income Port. (annuity)						X							X									
3	S ING American Funds Growth Port. (annuity)						X							X									
4	S ING FMR SM Diversified Mid Cap (annuity)				X									X									
5	S ING Templeton Global Growth Port (annuity)				X									X									
6																							
7																							
8																							
9																							
10																							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

Example: S, DC, or J IBM Corp. (stock) NYSE
(DC) Microsoft (stock) NASDAQ/OTC

Transaction Type (x)

Purchase
Sale
Exchange

Transaction Date
(Mo., Day, Yr.)

Amount of Transaction (x)

\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000***
\$1,000,001 - \$5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000

1	J	Wm.Wrigley Jr., Co.	X		2/1/0X		X			E	X	A	M	P	L	E
2	S	Conoco Phillips Corp.	X	X	9/10/08			X								
3	S	Abitibi Bowater, Inc.	X		6/10/08			X								
4	S	Principal Financial	X	X	12/3, 12/30/08		X									
5	S	Ivy Asset Strategy Fund	X	X	3/4, 12/9/08				X							
6	S	Ivy Ltd-Term BD FD CL C	X		12/9/08				X							
7	S	Janus Adviser Ser Long-Short FD CL C	X		3/4/08				X							
8	S	Oberweis Fds Emerging Growth SBI		X	12'22/08		X									
9	S	Vanguard Intl Equity Index Emerging Markets	X		2/21/08			X								
10	S	Harbor Capital Apprec Fund (IRA)	X		2/21/08	X										
11	S	Ishares Tr. MSCI Emerging Markets Index	X		8/20/08		X									
12	S	Julius Baer Inv. Intl. Equity	X		8/20/08		X									

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 *** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

Identification of Assets

Example: S, DC, or J
 IBM Corp. (stock) NYSE
 (DC) Microsoft (stock) NASDAQ/OTC

Transaction Type (x)	Transaction Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000
X	2/1/0X				X			E	X	A	M	P	L	E
	1/27/0X		X				X	E	X	A	M	P	L	E
	8/20/08		X			X								
	8/20/08		X			X								
	8/20/08		X			X								
X	2/21/08	X			X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
 ***This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.